

Mega
Global

巨量全球證券

Beyond Boundaries, For Wealth Legacy

跨越疆界, 传承财富

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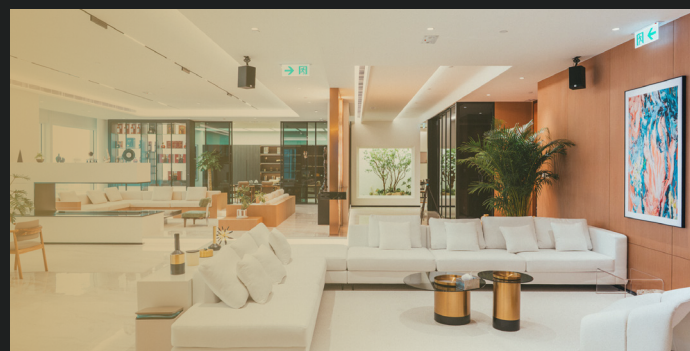
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CORPORATE PROFILE

公司簡介



A Hong Kong licensed corporation providing securities dealing, investment advisory and asset management services

於香港持牌提供證券交易、投資顧問及資產管理服務的金融機構



巨量全球證券是一家立足香港、服務全球的持牌綜合券商，持有香港證券及期貨事務監察委員會第 1 類（證券交易）、第 4 類（就證券提供意見）及第 9 類（資產管理）受規管活動牌照，構建「交易執行—專業投顧—資產管理」一站式全鏈條服務體系。

依託香港國際金融中心優勢，為專業投資者、機構及高淨值客戶提供全球資產配置、證券經紀、投資研究及全權委託資產管理等專業服務，致力按照適用監管要求及專業標準開展業務，成為值得信賴的全球化綜合金融服務商。

Mega Global Securities is a licensed comprehensive brokerage headquartered in Hong Kong, serving clients worldwide. We hold Hong Kong Securities and Futures Commission (SFC) licenses for Type 1 (Dealing in Securities), Type 4 (Advising on Securities), and Type 9 (Asset Management). We operate an integrated one-stop service ecosystem covering execution, professional advisory, and asset management. Leveraging Hong Kong's position as an international financial centre, Mega Global Securities provides clients with access to a broad range of investment opportunities and financial services, including securities brokerage, investment research, global asset allocation, and discretionary portfolio management services for professional investors, ultra-high-net-worth individuals, and institutional clients. Committed to conducting business in accordance with applicable regulatory requirements and professional standards, Mega Global Securities strives to be a trusted financial services provider.

Core Strengths

核心優勢

全球服務能力

Global Service Capability

覆蓋A股、港股、美股及全球主要市場，支持多幣種、多資產及多策略配置，滿足多元化跨境投資需求。

Our coverage extends to A-shares, Hong Kong stocks, US equities and other major global markets. We support multi-currency, multi-asset-class and multi-strategy asset allocation to meet diverse cross-border investment requirements.



一站式綜合金融服務

One-stop Integrated Financial Services

整合證券交易、投資諮詢及資產管理等多元業務，面向個人及機構客戶，提供全鏈條、一體化的專業金融解決方案。

Integrating securities trading, investment advisory, and asset management to provide end-to-end professional financial solutions for individual and institutional clients.



深度價值研究、全域風險把控

In-Depth Research & Comprehensive Risk Management

憑藉資深投研團隊及成熟風控體系，兼顧收益與安全，提供精準、審慎且具前瞻性的金融解決方案，為客戶資產穩健增值保駕護航。

Backed by an experienced research team and robust risk management framework, we balance returns and security to deliver prudent and forward-looking financial solutions

全球視野

Global Vision

深耕香港、放眼全球，整合跨境優質資源及環球市場機遇，協助客戶拓寬多元投資版圖，實現全球資產配置。

Rooted in Hong Kong with a global outlook, we integrate cross-border resources and global market opportunities to expand investment frontiers for clients.

以信任為本

Trust-Centered Philosophy

恪守合規底線，秉持誠信初心，堅守透明營運及客戶為先的理念，透過長遠穩健經營，建立卓越口碑，贏得客戶長久信賴。

Upholding strict compliance and integrity, we operate transparently and prioritize client interests, building long-term reputation and enduring trust.

Senior Professional Team

資深專業團隊

01 1+N 客戶為本服務模式 1+N Client Centric Service Model

由專屬客戶經理統籌研究、風險管理、資產配置及營運等專業團隊，為客戶提供無縫、全面及跨領域的一站式專業支援，切合不同客戶需求。
A dedicated relationship manager coordinates research, risk management, asset allocation, and operations specialists to deliver comprehensive cross functional support.

02 精英專業背景 Elite Professional Background

團隊匯聚卓越學術及行業背景的專業人才，擁有豐富的跨境金融、證券交易及資產管理經驗，並深諳國際及香港監管框架。我們以全球視野及機構級專業水準，為客戶提供高端及全面的金融解決方案。
Our team comprises highly qualified professionals educated at globally renowned institutions, with extensive experience in cross-border finance, securities trading, and asset management. Backed by deep expertise in international and Hong Kong regulatory frameworks, we deliver sophisticated financial solutions with a global perspective and institutional-level professionalism.



03

高效響應與訂製化方案 Efficient Response & Customized Solutions

秉持以客戶為先的服務理念，我們提供高效及專業的支援、量身訂製的金融策略，以及長遠財富規劃方案，全面配合客戶不同階段的財務目標。
Committed to client satisfaction, we provide prompt support, tailored strategies and long term wealth planning.



高效及專業的
支援



量身訂製的
金融策略



長遠財富規劃
方案

License Disclosure

牌照披露

Type 1, Type 4, Type 9 SFC Licenses 第 1 類、第 4 類及第 9 類香港證監會牌照

巨量全球證券持有香港證券及期貨事務監察委員會（「香港證監會」）根據《證券及期貨條例》（香港法例第 571 章）發出的牌照，可進行第 1 類（證券交易）、第 4 類（就證券提供意見）及第 9 類（提供資產管理）受規管活動。

Mega Global Securities Limited is licensed by the Securities and Futures Commission of Hong Kong (“SFC”) to carry on Type 1 (Dealing in Securities), Type 4 (Advising on Securities), and Type 9 (Asset Management) regulated activities under the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong).

相關牌照資料可於香港證券及期貨事務監察委員會設立之公眾持牌人紀錄冊查閱。

License information may be verified through the public register maintained by the Securities and Futures Commission.



WEALTH MANAGEMENT

財富管理

Core Services & Products

核心服務與產品

投資產品

Investment Products

提供多元化的受監管投資產品及服務，涵蓋香港股票、A股、美國股票、環球市場、債券、ETF、公募基金、房地產投資信託基金（REITs）及其他主流投資工具，致力為不同風險承受能力、投資目標及回報期望的投資者提供合適的投資方案。

部分投資產品及服務僅向《證券及期貨條例》（香港法例第571章）及其附屬法例所界定的專業投資者提供。

Our investment product portfolio covers mainstream compliant investment instruments including Hong Kong stocks, A-shares, US equities, global markets, bonds, ETFs, public funds and REITs. We offer investment products with distinct risk-return profiles tailored to investors with varying risk appetites. Certain investment products and services are only available to Professional Investors as defined under the Securities and Futures Ordinance and its subsidiary legislation. Certain investment products and services may only be available to professional investors as defined under the Securities and Futures Ordinance and related subsidiary legislation.

行業洞察

Industry Insights

提供專業及合規的投資分析與市場觀點，包括宏觀經濟分析、市場展望、行業研究報告、股票基本面分析、價值投資篩選、資產配置規劃、投資組合優化、月度及季度策略更新、市場評論、個人化投資建議及風險適配評估，協助客戶於不同市場週期中作出更審慎及具前瞻性的投資決策。

We provide professional and compliant investment opinions including macroeconomic analysis, market outlook, industry research reports, fundamental stock analysis, value investment screening, asset allocation planning, portfolio optimization, monthly/quarterly strategy updates, market commentary, personalized investment advice, and risk suitability assessment.

全權委託賬戶服務

Discretionary Mandate

由專業投資團隊根據客戶授權進行資產管理，服務對象涵蓋超高淨值人士、家族辦公室及機構投資者。

Professional investment teams manage assets on behalf of clients. Services are available for ultra high-net-worth individuals, family offices, and institutional investors.



訂製化全球資產配置策略（保守型、均衡型、進取型）
Customized global asset allocation (conservative, balanced, aggressive)



多元化投資組合配置，包括股票、債券、ETF及基金產品
Diversified portfolios: stocks, bonds, ETFs, funds



定期投資檢討、資產再平衡及資產淨值報告
Regular reviews, rebalancing, and net asset value reports



資產由第三方銀行獨立託管及全面分隔管理
Full segregation and custody by third-party banks

全權委託賬戶服務須根據個別投資管理協議提供，並受適當性評估、投資授權範圍及持續風險監控所規限。過往表現並不代表未來回報。

Discretionary account services are provided pursuant to individual investment management agreements and are subject to suitability assessment, investment mandate limitations, and ongoing risk monitoring. Past performance is not indicative of future performance.

Core Strategies

核心策略

● 均衡多元配置策略 Balanced Diversified Strategy

於股票、固定收益、跨境資產及多元資產類別之間平衡收益潛力與風險，透過分散配置及動態調整，降低週期性波動，適合追求長期穩健增長的中風險投資者。

Balancing return potential and risk across equities, fixed income, cross-border assets, and major categories. Diversified allocation and dynamic adjustment reduce cyclical volatility for medium-risk investors pursuing long-term steady growth.

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● 穩健固定收益策略 Stable Fixed Income Strategy

聚焦高信用質素固定收益資產，配合嚴格風險控制，在市場環境及投資風險因素影響下，致力實現穩定收益目標。適合注重資本保值及穩健增值的保守型投資者。

Focused on high credit fixed income assets with strict risk control, aiming to achieve income generation objectives subject to prevailing market conditions and investment risks. Suitable for conservative investors seeking capital preservation and stable growth.

● 跨境環球策略 cross-border Global Strategy

依託香港國際金融中心優勢，布局全球股票市場、跨境資產及另類投資，分散單一市場風險，把握全球經濟週期及市場機遇。

Leveraging Hong Kong's financial hub position to access global stock markets, cross-border assets, and global alternative investments. Diversifying single market risk and capturing global cycle opportunities.



● 股票增長策略 Equity Growth Strategy

專注於全球優質股票、行業龍頭、成長型板塊及價值投資機會，以長期價值投資理念為基礎，為願意承受適度波動的進取型投資者提供增長潛力。

Focused on high quality global equities, industry leaders, growth sectors, and value opportunities. Based on long-term value investing, it offers investment objectives for aggressive investors willing to accept moderate volatility.

● 專屬訂製策略 Customized Exclusive Strategy

根據高淨值個人及機構客戶的資金規模、風險承受能力、投資期限及財務目標，提供一對一專屬資產配置及投資方案。

One to one tailored solutions for high-net-worth individuals and institutions based on capital size, risk tolerance, investment horizon, and objectives.

REGULATORY COMPLIANCE

監管合規



客戶資產保障 Client Asset Protection

本公司嚴格遵守香港《證券及期貨條例》之相關規定，客戶資產與本公司自有資金完全分隔。所有客戶資產均按照香港適用監管要求存放於獨立分隔賬戶內，以確保資產安全及合規管理。

In full compliance with the Hong Kong Securities and Futures Ordinance, client assets are completely segregated from the company's own funds. Client assets are maintained in segregated accounts in accordance with applicable Hong Kong regulatory requirements.



投資者適合性管理 Investor Suitability Management

本公司嚴格按照香港證監會之監管要求，全面評估客戶之風險取向、財務狀況、投資經驗及風險承受能力。所有產品及投資建議僅提供予符合相應風險水平之客戶，高風險產品不會向低風險承受能力之客戶推廣，以確保投資適合性及客戶保障。

In strict accordance with SFC requirements, we conduct comprehensive assessments of clients' risk profile, financial situation, investment experience, and risk tolerance. Products and advice are offered only to matched investors; high-risk products are not promoted to low-risk tolerance clients.



產品風險披露 Product Risk Disclosure

所有投資風險均會全面披露，包括市場波動、跨境外匯、流動性、信貸及全權委託管理等相關風險。客戶於進行交易前，必須閱讀並確認相關風險披露文件。投資涉及風險，產品價格可升可跌，過往表現並不代表未來表現。

All investment risks are fully disclosed, including market volatility, cross-border foreign exchange, liquidity, credit, and discretionary management risks. Clients must read and acknowledge risk disclosure documents before trading. Investment involves risk; prices may rise or fall, and past performance is not indicative of future results.



反洗錢(AML)及打擊恐怖分子資金籌集 Anti-Money Laundering (AML) & Counter-Terrorist Financing

本公司已建立內部政策及程序，以遵守適用之反洗錢及打擊恐怖分子資金籌集相關法律及監管要求，包括客戶盡職審查、交易監察及可疑交易申報等合規措施。

We maintain internal policies and procedures designed to comply with applicable anti-money laundering and counter-terrorist financing laws and regulations, including customer due diligence, transaction monitoring, and suspicious transaction reporting obligations.



服務條款及業務細則

Terms of Service

本公司服務條款涵蓋證券交易、投資顧問、資產管理、賬戶管理、結算安排、收費標準、雙方權利與義務、違約處理及賬戶終止等內容。客戶開立賬戶即表示已閱讀、理解並接受所有相關條款及細則。

Official terms cover securities trading, advisory, asset management, account administration, settlement, fees, rights and obligations, breach handling, and account termination. Account opening constitutes acceptance of all terms.



合規免責聲明

Compliance Disclaimer

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Nothing in this brochure constitutes an offer, invitation, inducement, or recommendation to enter into any investment transaction.

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Disclosure 披露聲明

投資涉及風險。投資產品價值可能波動，並可在未有通知的情況下上升或下跌。投資者可能蒙受損失，亦未必能夠取回全部原始投資金額。

過往表現並非未來業績、回報或表現的可靠指標。

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Investment involves risks. The value of investment products may fluctuate and can rise or fall without notice. Investors may incur losses and may not recover the full amount originally invested.

Past performance is not a reliable indicator of future results, returns, or performance.

All products and services are provided subject to applicable laws, rules, regulations, and regulatory requirements in the relevant jurisdictions.

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